

# Improving nuclear morphometry imaging with real-time and low-cost line-scanning confocal microendoscope

YUBO TANG,<sup>1,\*</sup> ALEX KORTUM,<sup>1</sup> IMRAN VOHRA,<sup>1</sup> MOHAMED OTHMAN,<sup>2</sup> SADHNA DHINGRA,<sup>2</sup> NABIL MANSOUR,<sup>2</sup> JENNIFER CARNS,<sup>1</sup> SHARMILA ANANDASABAPATHY,<sup>2</sup> AND REBECCA RICHARDS-KORTUM<sup>1</sup>

<sup>1</sup>Bioengineering, Rice University, Houston, Texas 77005, USA

<sup>2</sup>Baylor College of Medicine, Houston, Texas 77030, USA

\*Corresponding author: yt9@rice.edu

Received 15 November 2018; revised 21 December 2018; accepted 21 December 2018; posted 3 January 2019 (Doc. ID 351943); published 28 January 2019

**Fiber-optic endomicroscopy is a minimally invasive tool to probe disease progression with subcellular resolution. In this Letter, we demonstrate a low-cost and compact fluorescence microendoscope capable of line-scanning confocal imaging by synchronizing a digital light projector with a CMOS camera. We present the digital aperture design to enable real-time confocal imaging, and we implement parallel illumination to improve the optical sectioning performance. Furthermore, we show that the confocal microendoscope can enhance visualization of disease-associated features when imaging highly scattering esophageal specimens.** © 2019 Optical Society of America

<https://doi.org/10.1364/OL.44.000654>

Confocal endomicroscopy offers clinicians a powerful tool to visualize cellular architecture of highly scattering tissue with optical sectioning. For real-time biomedical applications, slit apertures are usually employed to achieve faster frame rates than point apertures. To implement slit apertures, a variety of mechanical scanning or spectral dispersion techniques have been used, such as polygon scanners, galvanometer mirrors, opto-acoustic scanners, and diffraction gratings. [1–3] Recent advances in digital micromirror device technology make it practical to use an affordable digital light projector (DLP) as a versatile spatial light modulator. Slit apertures on the DLP can be programmed to spatiotemporally match the rolling shutter of a complementary metal-oxide semiconductor (CMOS) sensor and achieve confocal imaging without mechanical scanning [4]. Since both illumination and detection apertures on the DLP and CMOS can be digitally implemented, the cost and complexity of confocal alignment and scanning are reduced [5]. When compared with conventional physical scanners, these virtual apertures are accurate and versatile, but also have some constraints. For example, the number of patterns and their scanning rates are limited in an off-the-shelf DLP, which restricts imaging performance. In this Letter, we characterize

the scanning capabilities of slit apertures using a commercial DLP and CMOS sensor, and we analyze the spatiotemporal barriers of synchronizing the two for real-time confocal imaging. We further demonstrate an aperture design to overcome these barriers and achieve real-time confocal imaging in a portable and low-cost high-resolution microendoscope (HRME). We also characterize the optical sectioning capability and report performance for nuclear morphometry imaging of esophageal submucosal dissection (ESD) specimens.

A schematic of the confocal HRME is shown in Fig. 1A. As described in further detail elsewhere [5], the confocal HRME is a fluorescence microscope using a LightCrafter 4500 DLP (Texas Instruments) as the light source and a scientific CMOS sensor (Firefly MV USB 2.0, FLIR Integrated Imaging Solutions) for imaging. Line illumination from the blue LED of a DLP is scanned and relayed onto the tissue surface through a coherent imaging fiber bundle (FIGH-30-850N, Myriad Fiber Imaging; 790  $\mu\text{m}$  circular field of view [FOV]). A fluorescence signal collected by the fiber bundle is descanned with the CMOS sensor in the rolling shutter mode. Optical magnifications are calculated to match the confocal aperture sizes, and confocal apertures are triggered in a synchronized manner. Two right-angle mirrors are used for fine confocal alignment. Without complicated opto-mechanical components, the entire system is built in a portable enclosure at a low cost (10"  $\times$  14"  $\times$  3", <\$5,000).

The versatile programmability of the DLP and CMOS allows for accurate and repeatable control over the confocal slit apertures on a per frame basis. Unlike a conventional physical aperture that scans continuously, digital apertures in the confocal HRME are quantized, with a series of apertures scanned as binary patterns in a single frame acquisition. On one end, the illumination aperture is programmed as preloaded binary patterns in the DLP. To scan the FOV, a sequence of binary patterns is projected by toggling the micromirrors on and off. On the other end, the detection slit aperture is achieved by adjusting the rolling shutter width. The exposure of CMOS rows is activated sequentially at a fixed row frequency  $f_L$ . The number

of rows under exposure concurrently  $D_{\text{det}}$  and, hence, the width of the rolling shutter aperture, is proportional to the shutter time  $T_S$  such that

$$D_{\text{det}} = T_S * f_L. \quad (1)$$

The resulting detection aperture sequence, therefore, is also scanned at a row frequency  $f_L$ ; by adjusting the exposure time, the detection aperture size can be linearly tuned on a per CMOS pixel basis. In this Letter, the LightCrafter 4500 engine can project binary patterns of  $1140 \times 912$  pixels at up to 2 kHz, and the CMOS rolling shutter scans across  $1328 \times 1048$  pixels ( $1240 \times 960$  effective imaging pixels) at a fixed row frequency of 16.231 kHz.

While versatile and accurate, the two binary aperture sequences in the CMOS and DLP have distinctive spatiotemporal scanning characteristics, which makes confocal synchronization challenging. First, the illumination aperture speed is lower than that of the detection aperture. As previously mentioned, the detection aperture on the CMOS has a fixed line speed of about 16 kHz, and the illumination aperture can only be scanned at up to 2 kHz. In addition, the slower DLP sequence is projected in a discrete manner due to the discretized motion of the micromirrors; when operating at full speed, the DLP duty cycle can be as low as 50%. Secondly, the number of distinctive apertures supported by the DLP is considerably lower than that of the detection aperture. In a single frame acquisition, the rolling shutter scans over 960 CMOS rows,

resulting in a sequence of 960 distinctive detection apertures. In contrast, the DLP flash memory supports the storage of two 24-bit images, allowing only up to 48 illumination apertures to be projected at full speed. The disparity in the scanning rate and duty cycle, as well as the number of available binary patterns, make it impractical to synchronize the two aperture sequences on a per row basis and, thus, pose challenges for real-time confocal imaging. First and foremost, as we have shown in our previous confocal HRME, the need for apertures covering multiple CMOS rows, together with their scanning speed mismatch, introduces illumination non-uniformity and, thus, makes confocal imaging vulnerable to motion artifacts in real time. [5] In addition, the relatively small number of DLP patterns limits the minimum width used to scan the FOV and, thus, restricts the confocal optical sectioning performance. In this Letter, we redesign the DLP and CMOS aperture sequences to address both challenges.

The detailed scanning characteristics of two aperture sequences, as well as the aforementioned illumination non-uniformity, are illustrated in Fig. 1B. Since the relative aperture size can be optically adjusted, both apertures will be described in CMOS rows. As shown by the green parallelogram in the left panel, the detection aperture sequence is scanned at a fixed row frequency  $f_L$ , with the aperture size  $D_{\text{det}}$  linearly determined by the CMOS shutter time. The DLP illumination aperture sequence, shown as blue rectangles, is designed to match the CMOS exposure. Spatially, we program the DLP illumination aperture size to match the rolling shutter aperture width  $D_{\text{det}}$ ; using 48 available DLP patterns, adjacent illumination apertures are projected in a non-overlapping manner to illuminate the FOV with the smallest aperture. Temporally, in order to align two sequences, illumination apertures must be more sparsely distributed, since they can only be projected with a duty cycle  $d$  at a slower scanning rate (Fig. 1B, left panel). This spatiotemporal mismatch between two sequences contributes to a non-uniform distribution of the effective exposure time  $T_E$ , defined as the temporal overlap between the illumination and detection apertures for each CMOS row along the scanning direction. Specifically,  $T_E$  reaches a maximum at the center of illumination apertures and drops by half at their borders, resulting in confocal imaging artifacts (middle and right panels of Fig. 1B). We calculate the number of under-illuminated rows on both sides of an illumination aperture  $D_u$ :

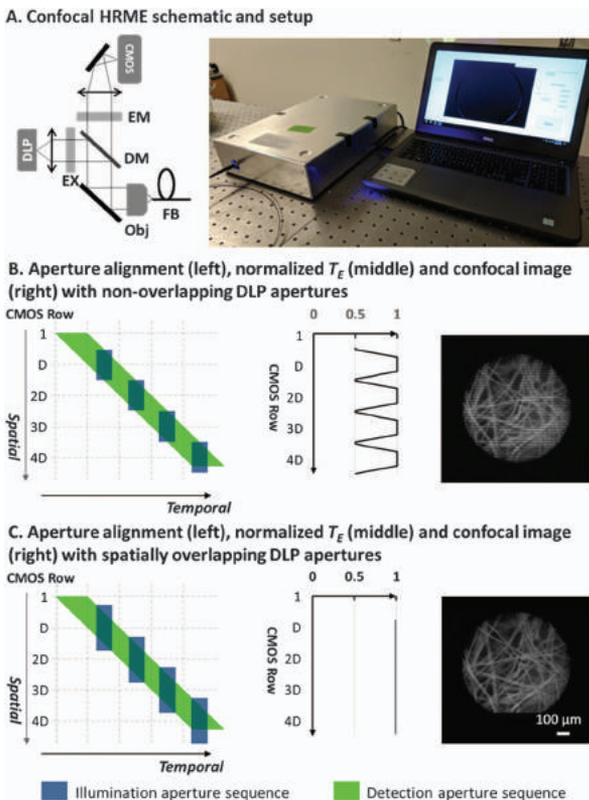
$$D_u = T_{\text{DLP}} * f_L, \quad (2)$$

in which  $T_{\text{DLP}}$  is the illumination duration for each illumination aperture. It should be noted that when the aperture sequences are aligned, the illumination aperture period equals the shutter time  $T_S$ . Therefore, Eq. (2) can also be written as

$$D_u = T_S * d * f_L = D_{\text{det}} * d, \quad (3)$$

in which  $d$  is the DLP aperture duty cycle. Equation (3) indicates that when using a spatially non-overlapping DLP sequence, a high duty cycle leads to increased imaging non-uniformity, even though it is desired to deliver more illumination.

In Fig. 1C, we redesign the illumination apertures to enable real-time confocal imaging without illumination artifacts. Since the under-illuminated rows are only on the edge of the illumination apertures, their  $T_E$  loss can be compensated for by spatially expanding the adjacent illumination apertures. More



**Fig. 1.** (A) Confocal HRME and its schematic. Confocal aperture alignment with (B) non-overlapping and (C) spatially overlapping DLP apertures. The imaging artifacts in (B) are eliminated with spatially overlapping DLP apertures in (C). EM, emission filter (500 nm long-pass filter); EX, excitation filter (452/45 nm bandpass filter); DM, dichroic mirror; Obj, objective; FB, fiber bundle.

specifically, the illumination aperture width is increased by  $D_u/2$  on both ends so that these rows can be illuminated by two adjacent apertures. The resulting expanded illumination aperture width is

$$D_{\text{illu}} = D_{\text{det}} + D_u = D_{\text{det}} * (1 + d). \quad (4)$$

As shown in Fig. 1C, the previously under-illuminated rows are illuminated by two adjacent DLP apertures in a complementary manner, ensuring that the total  $T_E$  remains constant along the scanning direction. The resulting  $T_E$  distribution is uniform (Fig. 1C, middle panel), and confocal imaging without illumination artifacts (Fig. 1C, right panel) can be achieved in real time at 15 frames per second (Visualization 1).

In addition to enabling real-time confocal imaging, we also improve the axial response by parallelizing illumination apertures. As previously mentioned, in a single frame acquisition, the detection aperture sequence contains 960 distinctive apertures, and the DLP only supports projection of 48 patterns at its full speed. As illustrated in the top panel of Fig. 2A, to cover 960 CMOS rows with 48 patterns, the distance between the illumination aperture centers should be no fewer than 20 pixels. To overcome the aperture width limit imposed by the DLP storage capacity, in the bottom panel of Fig. 2A, each DLP pattern is redesigned to contain two apertures separated by 480 rows, with their width reduced by half; as a sequence of 48 patterns is scanned, the top and bottom half of the FOV are illuminated with two distinct apertures simultaneously. To align two aperture sequences, the detection aperture size is reduced accordingly, and the 48-pattern illumination

sequence is projected repeatedly. Although the detection aperture cannot be parallelized, the high scanning linearity of two sequences ensures spatiotemporal alignment for confocal imaging.

The resulting improvement in axial response was characterized by imaging a mirror in the reflection mode [6]. In Fig. 2B, the non-confocal HRME shows minimal optical sectioning. For confocal imaging, 48 and 96 illumination apertures were scanned during a single frame acquisition in non-parallel and parallel scanning, respectively; the resulting rolling shutter aperture widths were 20.4 and 10.2  $\mu\text{m}$  on the tissue sample. In both configurations, the background rejection was significantly improved. The signal intensity dropped by half between 80 and 90  $\mu\text{m}$  without parallel illumination, suggesting a FWHM of about 170  $\mu\text{m}$ ; with parallel illumination, the FWHM was further reduced to 100  $\mu\text{m}$ . The results also suggest that parallelized illumination apertures on the same DLP pattern are adequately separated to minimize potential crosstalk.

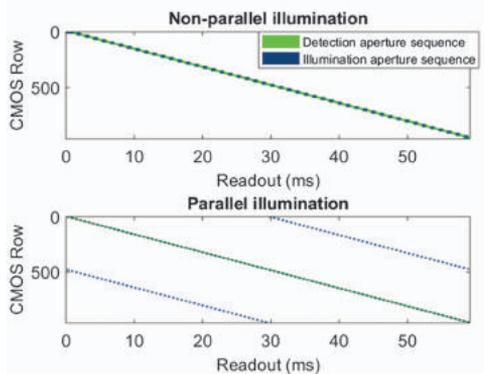
We validated the performance of the real-time confocal HRME with parallel illumination by imaging ESD specimens that were topically stained with proflavine (0.01% w/v in PBS). This Letter was approved by Institutional Review Boards at Baylor College of Medicine and Rice University. The same FOVs were imaged using the non-confocal and confocal HRME, as the fiber bundle was positioned in gentle contact with the specimen. Sites were inked post-imaging for histopathological examination.

Figure 3 shows HRME images from an ESD specimen. The images of site A reveal a columnar epithelium (glandular structure at 11 to 7 o'clock) and a squamous epithelium (nuclear structure at 7 to 11 o'clock). Confocal imaging enhances visualization of both types of epitheliums. The optical sectioning was further quantified along two linescans in the squamous and columnar epithelial regions (solid and dashed lines on the top panel, respectively; a Gaussian low-pass filter was applied to remove the intrinsic fiber bundle pattern). As shown in the intensity profile, individual nuclei indicated by black arrows are clearly resolved in the confocal image, while their contrast can be poor in the non-confocal image. Similarly, background signal in the lumens is significantly reduced in the confocal HRME.

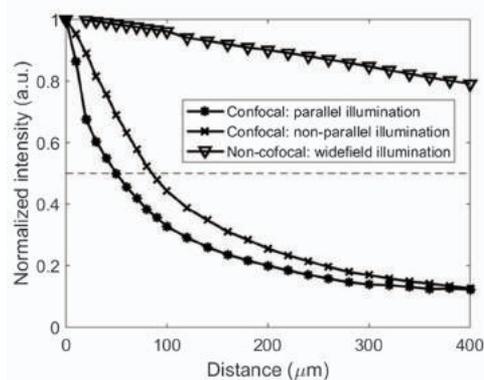
The images of site B in Fig. 3 show nuclear crowding and increased microvasculature. Two linescans are quantified in the nuclear crowding region and the vascular region (solid and dotted lines on top panel, respectively). The nuclear profile of site B shows clearly delineated nuclei (black arrows) in the confocal intensity profile, even though the scattering background is higher due to nuclear crowding. For microvascular imaging, the confocal image also shows improved background rejection with enhanced contrast.

We also assessed optical imaging features associated with esophageal cancer progression using the non-confocal and confocal HRME. Figure 4 shows representative images of sites diagnosed as a normal Barrett's esophagus (BE), high grade dysplasia (HGD), and esophageal adenocarcinoma (EAC). In a benign BE, the glandular patterns appear regular and intact. Progressing to HGD and EAC, the glandular patterns become disrupted and effaced, accompanied by nuclear enlargement and crowding. When compared with non-confocal HRME images, confocal images showed an enhanced contrast of both glandular patterns and individual nuclei. In the confocal image

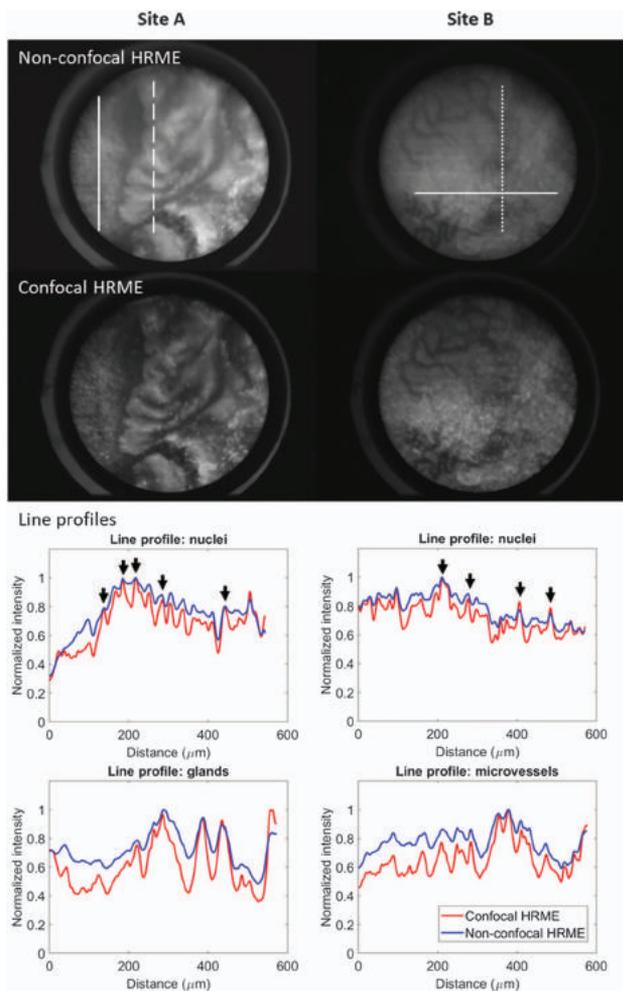
**A. Confocal alignment without and with parallel illumination**



**B. Axial response without and with parallel illumination**



**Fig. 2.** Confocal alignment (a) without and with parallel illumination, and (B) the resulting axial responses.

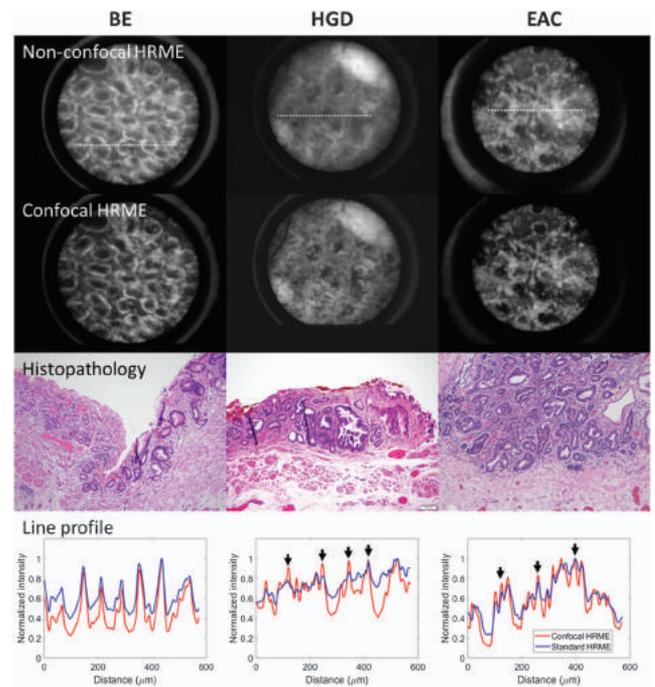


**Fig. 3.** Images acquired with the non-confocal and confocal HRME of an ESD specimen. Intensity profiles (solid lines, nuclei; dashed lines, glands; dotted lines, microvessels) are shown. Circular FOV, 790  $\mu\text{m}$ .

of a BE, the background signal in the lumens is significantly reduced. Individual nuclei (black arrows) in the HGD and EAC sites can be readily discerned with confocal imaging, while the contrast is relatively lower in the non-confocal HRME.

*Ex vivo* imaging demonstrates that the confocal HRME can better resolve clinically relevant features associated with disease progression, including individual nuclei, glands, and microvasculature. The confocal system described here differs from a conventional confocal microscope in several aspects. First, we implemented two digital apertures with distinctive scanning characteristics to perform confocal line-scanning, while conventional confocal systems usually employ the same type of apertures to scan and descan the FOV. Secondly, the digital nature of the two apertures allows for rapid and accurate control over the system. In addition, without the need for complicated opto-mechanical systems, the device can be built in a small enclosure at a low cost.

In conclusion, we demonstrated the implementation of real-time line-scanning confocal imaging using an affordable off-the-shelf DLP and a CMOS camera. We further integrate



**Fig. 4.** Representative images acquired with the non-confocal and confocal HRME. Intensity profiles along the linescans (white dotted lines) are plotted. BE, Barrett's esophagus; HGD, high grade dysplasia; EAC, esophageal adenocarcinoma. Circular FOV, 790  $\mu\text{m}$ .

them to build a low-cost HRME (<\$5,000) and show that its optical sectioning capability can enhance the visualization of disease-associated alterations in cellular architecture. The system can be adapted for other novel optical configurations, and future work is warranted to explore the potential benefits of optical sectioning when imaging a variety of pathological conditions.

**Funding.** Dan L. Duncan Cancer Center, Baylor College of Medicine (DLDC); National Cancer Institute (NCI) (R01CA103830); National Science Foundation (NSF) (1730574).

**Acknowledgment.** The authors thank Madeleine Allman for coordinating patient accrual. We also appreciate assistance from Drs. Nadeem Dhanani and Richard Schwarz.

## REFERENCES

1. K.-B. Im, S. Han, H. Park, D. Kim, and B.-M. Kim, *Opt. Express* **13**, 5151 (2005).
2. G. J. Tearney, R. H. Webb, and B. E. Bouma, *Opt. Lett.* **23**, 1152 (1998).
3. Y. S. Sabharwal, A. R. Rouse, L. Donaldson, M. F. Hopkins, and A. F. Gmitro, *Appl. Opt.* **38**, 7133 (1999).
4. M. S. Muller, J. J. Green, K. Baskaran, A. W. Ingling, J. L. Clendenon, T. J. Gast, and A. E. Elsner, *Proc. SPIE* **9376**, 93760E (2015).
5. Y. Tang, J. Carns, and R. R. Richards-Kortum, *J. Biomed. Opt.* **22**, 1 (2017).
6. C. P. Lin and R. H. Webb, *Opt. Lett.* **25**, 954 (2000).